Howard J Abner

A True Inspiration

**Howard J Abner,
Chairman,
Abner Herrman & Brock Asset Management LLC**

Howard Abner, CFA, Chairman, has over 60 years of professional experience in the Investment Management Industry. His roles as a Research Analyst, Portfolio Manager, and member of the Firm's Investment Policy Committee, coupled with his past positions as President of Jas. H. Oliphant & Co. and Senior Vice President of Laidlaw, Adams & Peck, demonstrate his deep-rooted knowledge and expertise. Howard's commitment to the industry is further evidenced by his membership in The Economic Club of New York and various College Advisory Boards, as well as his service on the Board of Directors of several community and corporate organizations.

Howard was born and raised in Brooklyn, NY, where he graduated from Midwood High School. He then graduated from the University of Bridgeport in 1959 with a Bachelor of Arts Degree in Economics. Upon completing his undergraduate studies, he attended Cornell's Johnson Graduate School of Management, where he completed his MBA in Finance in 1961. Howard then enlisted in the United States Army Reserve where he served until 1967.

In 1962, Howard began a career in finance, first serving as a Securities Analyst with Orvis Brothers. In 1967, he left Orvis Brothers to join Jas. H. Oliphant & Co., where he became President, Chief Executive Officer, and Member of the Board of Directors. At that time, he was also an Allied Member of both the New York Stock Exchange and the American Stock Exchange. In 1972, Howard helped bring the Company public, making Jas, H. Oliphant the Third Wall Street firm to be publicly traded. During his tenure there, Howard obtained his Chartered Financial Analyst designation and is currently a member of the CFA Institute.

In 1976, Howard accepted the position of Director of Research and Head of Sales and Trading for Laidlaw, Adams & Peck Inc. He would remain there until 1981, when he founded Abner Herrman & Brock Asset Management. Howard is currently the Chairman of Abner, Herrman & Brock Asset Management, an independent investment management firm serving High-Net-Worth individuals, Trusts and Endowments. While at Abner Herrman & Brock Asset Management, Howard has earned several licenses and registrations from the Financial Industry Regulatory Authority (FINRA), including General Securities Principal, Financial & Operations Principal, Municipal Securities Principal, and Registered Options Principal. Because of Howard's commitment to excellence, Abner Herrman & Brock Asset Management has grown significantly over the past more than 40 years. The firm has increased its assets under management from $2 Million in 1981 to greater than $2 Billion assets under management today.

Abner Herrman & Brock Asset Management works with Financial Advisors to provide investment solutions to help achieve their client's investment objectives. Investment

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strategies are available in separately managed accounts in many Wrap Platforms at Broker-Dealers, Banks, and Registered Investment Advisory firms across the United States. The company provides custom solutions for individuals and families with access to Abner Herrman & Brock Asset Management's experienced investment professionals who structure a portfolio to meet a client's unique investment objectives.

Abner Herrman & Brock Asset Management Portfolio Managers create a portfolio of stocks and or stocks and bonds (Balanced Portfolio) designed to meet each clients' long-term after-tax investment objectives. Abner Herrman & Brock also Custom manages Bond, Balanced and Equity portfolios with an emphasis on Growth as well as preservation of capital. Abner Herrman & Brock Asset Management is unique in providing Investment solutions in Separately Managed portfolio's vs generally available Mutual Funds, Electronically Traded Funds (ETF's), Hedge Funds and Private Equity Funds.

Under Howard's leadership, Abner Herrman & Brock Asset Management's highly experienced investment professionals work directly with Financial Consultants and their Clients to meet the Investment Objective of the owner of the portfolio.

Howard is active in the community as a member of several Nationally recognized clubs and associations. These include: The Economics Club of New York and its Centennial Society. Howard was also a Founding Member of the Alumni Advisory Board of The Cornell University Entrepreneur Program and a Founding Member of the Board of Trustees of the Jewish Federation Endowment Foundation of North Jersey. He has also served on the Alumni Executive Council of The Johnson Graduate School of Management at Cornell University. He is a Prior member of the Board of Directors for the YMYWHA of Northern New Jersey as well as The Board of Governors of Preakness Hills Country Club. ITO